

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

M. BANNAI, et al.

Serial No.:

09/843,736

Filed:

April 30, 2001

For:

ENERGY SERVICE BUSINESS METHOD AND

SYSTEM

Group:

3629

Examiner:

I. Borissov

UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 20, 2004

Sir:

In the matter of the above-identified application, Applicants are submitting copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This information disclosure statement is being submitted before the mailing date of either a final action or a notice of allowance and is accompanied by the fee of \$180.00 set forth in 37 CFR 1.17(p).

Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (Case No. 389.40083X00) and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

Melvin Kraus

Registration No. 22,466

MK/kmh (703) 312-6600 Attachments

4.

FORM PTO-

Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DKT. NO.	SERIAL NO.		
		389.40083X00	09/843,736		
informat	TION DISCLOSURE STATEMENT	APPLICANT M. BANNAI, et al.			
		FILING DATE	GROUP		
ά (τ	Jse several sheets if necessary)	April 30, 2001	3620		

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	6,424,871	07/23/02	Nakajima et al.			07/08/99
AB						
AC [*]						
AD						
AE						
AF						
AG						
AH						
AI						
AĴ						
AK						
AL						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Cla	ss Subclass	Trans /Abs	lation tract
						Yes	No
AM	01/06612	1-25-01	WO				
AN							
AO							
AP							
AQ							
AR							
AS				_			
AT							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
AU	International Performance Measurement & Verification Protocol, Updated Version of 1996 North American Energy Measurement and Verification Protocol; December 1997; pages 1-188.		
AV	Showcase Demonstration Case Study, "The Challenge: Optimizing Electric Motor Systems at a Corporate Campus Facility", A program of the U.S. Department of Energy, pages 1-6.		
AW	EPA, Energy Star Building Manual, Financing Your Energy Efficiency Upgrade, page 1-10.		
AX	Energy Matters, Office of Industrial Technologies, March 1999, pages 3-7.		
AY	"Introduction of ESCO (an Energy Service Company) Service", by The Energy Conversation Center of Japan in February 1997 Cover, Data Brochure No. 1.		
AZ	"Report by Study Group for Introduction of ESCO Service", by The Energy Conversation Center of Japan in March 1998 Cover, Data Brochure No. 2.		
r	Date Considered		
	AV AW AX AY		